LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Us s veral sh ts if nec ssary)

ΑΠΥ.	DOCKET	NO.
149		

SERIAL NO. Not yet assigned

APPLICANT:

Nielsen, James T.; et. al.

FILING DATE: December 31, 2003 GROUP:

Not yet assigned

EXAMINER						FILING
INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	CLASS	DATE
MTA	3,254,650	06/01/1966	Collito			
	3,254,651	06/01/1966	Collito			
	3,519,187	07/07/1970	Kapitanov et. al.			
·	3,774,615	11/27/1973	Lim et. al.			·
	4,214,587	07/29/1980	Sakura, Jr.			
	4,350,160	09/21/1982	Kolesov et. al.			
	4,352,358	10/05/1982	Angelchik			
	4,366,819	01/04/1983	Kaster			
	4,368,736	01/18/1983	Kaster			
	4,503,568	03/12/1985	Madras			
	4,523,592	06/18/1985	Daniel			
	4,553,542	11/19/1985	Schenck et. al.			
	4,593,693	06/10/1986	Schenck			
	4,603,693	08/05/1986	Conta et. al.			
	4,607,637	08/26/1986	Berggren et. al.			
	4,624,255	11/25/1986	Schenck et. al.			
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	4,657,019	04/14/1987	Walsh et. al.			
	4,665,906	05/19/1987	Jervis			
	4,747,407	05/31/1988	Liu et. al.			
	4,752,024	06/21/1988	Green et. al.			
	4,773,420	09/27/1988	Green			
	4,907,591	03/13/1990	Vasconcellos et. al.			

EXAMINER: M T Andoresen	DATE CONSIDERED: 7/17/2006
EVANAINED: Initial if reference is considered what	her or not citation is in conformance with

MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.	SERIAL NO.
149	Not yet assigned
APPLICANT:	

(Us s veral she ts if n cessary)

Nielsen, James T.; et. al. GROUP: FILING DATE: December 31, 2003 Not yet assigned

		U.S. P	ATENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
MTA	4,917,087	04/17/1990	Walsh et. al.			
·	4,917,090	04/17/1990	Berggren et. al.			
	4,917,091	04/17/1990	Berggren et. al.			
	4,930,674	06/05/1990	Barak			
	5,005,749	04/09/1991	Aranyi			
	5,062,842	11/05/1991	Tiffany			
	5,104,025	04/14/1992	Main et. al.			
	5,119,983	06/09/1992	Green et. al.			
	5,156,619	10/20/1992	Ehrenfeld			
	5,178,634	01/12/1993	Ramos Martinez			
	5,193,731	03/16/1993	Aranyi			
	5,205,459	04/27/1993	Brinkerhoff et. al.			
	5,211,683	05/18/1993	Maginot			
	5,234,447	08/10/1993	Kaster et. al.			
	5,250,058	10/05/1993	Miller et. al.			
	5,271,544	12/21/1993	Fox et. al.			
	5,275,322	01/04/1994	Brinkerhoff et. al.			
	5,285,945	02/15/1994	Brinkerhoff et. al.			
	5,292,053	03/08/1994	Bilotti et. al.			
	5,304,220	04/19/1994	Maginot			
	5,314,435	05/24/1994	Green et. al.			
	5,314,468	05/24/1994	Ramos Martinez			
	5,333,773	08/02/1994	Main et. al.			

EXAMINER:	DATE CONSIDERED:
M T Andreson	7/17/2006
EVANABLED: Initial if reference is considered what	her or not citation is in conformance with

MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant
Information Disclosure Statement – Section 9 PTO-1449

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 149	SERIAL NO. Not yet assigned
APPLICANT:	

Nielsen, James T.; et. al.

FILING DATE: GROUP: December 31, 2003 Not yet assigned

(Us sev ral sh ts if nec ssary)

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
MTA	5,336,233	08/09/1994	Chen	i		
	5,350,104	09/27/1994	Main et. al.			
	5,366,462	11/22/1994	Kaster et. al.			
	5,392,979	02/28/1995	Green et. al.			
	5,395,030	03/07/1995	Kuramoto et. al.			
	5,417,361	05/23/1995	Williamson IV			
	5,443,497	08/22/1995	Venbrux			
	5,447,514	09/05/1995	Gerry et. al.	.		
	5,452,836	09/26/1995	Huitema et. al.			
	5,454,825	10/03/1995	Van Leeuwen			
	5,456,712	10/10/1995	Maginot			
	5,456,714	10/10/1995	Owen			
	5,464,449	11/07/1995	Ryan et. al.			
	5,465,895	11/14/1995	Knodel et. al.			
	5,478,354	12/26/1995	Tovey et. al.			
	5,522,834	06/04/1996	Fonger et. al.			
	5,533,661	07/09/1996	Main et. al.			
	5,558,667	09/24/1996	Yarborough et. al.			
	5,562,241	10/08/1996	Knodel et. al.			
	5,632,432	05/27/1997	Schulze et. al.			
	5,669,544	09/23/1997	Schulze et. al.			
	5,571,167	11/05/1996	Maginot			
	5,580,067	12/03/1996	Hamblin et. al.			

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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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APPLICANT:

Nielsen, James T.; et. al.

FILING DATE: December 31, 2003 GROUP:

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
MTA	5,609,285	03/11/1997	Grant et. al.			
	5,632,433	05/27/1997	Grant et. al.			
	5,643,340	07/01/1997	Nunokawa			
	5,653,373	08/05/1997	Green et. al.			
	5,669,918	09/23/1997	Balazs et. al.			
	5,676,670	10/14/1997	Kim			
	5,693,088	12/02/1997	Lazarus			
	5,695,504	12/09/1997	Gifford III, et. al.			
	5,702,412	12/30/1997	Popov et. al.			
	5,707,362	01/13/1998	Yoon			
	5,707,380	01/13/1998	Hinchliffe et. al.			
	5,709,693	01/20/1998	Taylor			
	5,725,544	03/10/1998	Rygaard			
	5,797,920	08/25/1998	Kim			
	5,799,857	09/01/1998	Robertson et. al.			
	5,817,113	10/06/1998	Gifford III et. al.			
	5,833,698	11/10/1998	Hinchliffe et. al.			
	5,865,730	02/02/1999	Fox et. al.			
	5,879,371	03/09/1999	Gardiner et. al.			
	5,915,616	06/29/1999	Viola et. al.			
	5,921,995	07/13/1999	Kleshinski			
	5,944,730	.08/31/1999	Nobles et. al.			
	5,993,464	11/30/1999	Knodel			

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EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S **INFORMATION DISCLOSURE STATEMENT**

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149	Not yet assigned
APPLICANT:	
Nielsen James T · et al	

FILING DATE: December 31, 2003

GROUP: Not yet assigned

		U.S. P	ATENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
MTA	6,015,416	01/18/2000	Stefanchik et. al.			
	6,024,748	02/15/2000	Manzo et. al.			
	6,036,700	03/14/2000	Stefanchik et. al.			
	6,050,472	04/18/2000	Shibata			
	6,053,390	04/25/2000	Green et. al.			
	6,066,144	05/23/2000	Wolf et. al.			
	6,066,148	05/23/2000	Rygaard			
	6,071,289	06/06/2000	Stefanchik et. al.			
	6,083,234	07/04/2000	Nicholas et. al.			
	6,110,187	08/29/2000	Donlon			
	6,117,148	09/12/2000	Ravo et. al.			
	6,176,413	01/23/2001	Heck et. al.			
	6,183,486	02/06/2001	Snow et. al.			
	6,187,019	02/13/2001	Stefanchik et. al.			
	6,193,129	02/27/2001	Bittner et. al.			
	6,193,734	02/27/2001	Bolduc et. al.			
	6,248,117	06/19/2001	Blatter	12.574		
	6,514,263	02/04/2003	Stefanchik			
	6,520,973	02/18/2003	McGarry			
	6,530,932	03/11/2003	Swayze			

EXAMINER: M Tow Aulgesen	DATE CONSIDERED: 7/16/2006
	

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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APPLICANT:

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Nielsen, James T.; et. al.

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December 31, 2003 Not yet assigned

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB . CLASS	TRANS YES	LATION NO
	2316910	07/01/1976	France				
MTA	19732234	01/01/1999	Germany				
	00/59380	10/12/2000	PCT				
	00/12013	03/09/2000	PCT				
MTA	99/21491	05/01/1999	PCT				
MTA	99/11178	03/01/1999	PCT		_		
MTA	98/19625	05/01/1998	PCT				
	0990420	12/27/2000	EPO			 	
	0820724	03/01/2000	EPO			 	
	0820725	03/01/2000	-EPO			 	
	0937870	09/01/1999	EPO				
	0885595	12/23/1998	EPO				

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EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

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	(Use several sheets if necessary)						GROUP: N/A	
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			U.S. P	AMENT DOCU	MENTS			
EXAMINER							SUB	FILING
INITIAL		DOCUMENT NUMBER	DATE		NAME	CLASS	CLASS	DATE
MTA	AA	6,209,773	9/7/1999	BOLDUC		 -		
	AB	5,976,159	2/8/1996	BOLDUC		 		
	AC	5,662,258	7/11/1996	KNODEL		 		
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		OTHER DOCUM	ΛΕΝΤS (Inclu	ding Author, T	itle, Date, Pertinent	Pages, et	c.)	
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Information Disclosure Statement – Section 9 PTO-1449

PTO/SB/08A(08-03)
Approved for use through 07/91/2009, OMB 0551-0331
US Peterl & Tradement Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO	Complete if Known	quired to respond to a collection of information unless it contains a visid CAMS control number.	
INFORMATION DISCLOSURE	Application Number	10/750,712	
STATEMENT BY APPLICANT (Use as many sheets as necessary)	Filing Date	December 31, 2003	
Social many social as recosally,	First Named Inventor	Nielsen, James T.	
	Group Art Unit	3721	
	Examiner Name	Unknown	
Sheet 1 of 1	Attorney Docket No: 149		

	1100 0	Publication Date	ATENT DOCUMENTS	Pages,Columns,Lines,Where Relevant Passages
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	or Relevant Figures Appear
MTA	US-4,248,267	02/03/1981	Brandenberg	
]	US-4,331,277	05/25/1982	Green	
	US-4,605,001	08/12/1986	Rothfuss, et al.	
	US-4,617,928	10/21/1986	Alfranca,	
	US-4,892,098	01/09/1990	Sauer	
	US-4,938,408	07/03/1990	Bedi	
T I	US-4,951,861	08/28/1990	Schulze, et al.	
	US-5,018,657	05/28/1991	Pedlick, et al.	
Î	US-5,156,310	10/20/1992	Biedenharn	
	US-5,156,613	10/20/1992	Sawyer	
	US-5,172,845	12/22/1992	Tejeiro	
	US-5,300,065	04/05/1994	Anderson, Dallas W.	
	US-5,364,389	11/15/1994	Anderson, et al.	•
	US-5,431,322	07/11/1995	Green, et al.	
	US-5,465,896	11/14/1995	Allen, et al.	
1	US-5,478,003	12/26/1995	Green, et al.	
	US-5,478,354	12/26/1995	Tovey, et al.	
	US-5,522,834	06/04/1996	Fonger, et al.	
	US-5,533,661	07/09/1996	Main, et al.	
	US-5,540,677	07/30/1996	Sinofsky	
	US-5,558,667	09/24/1996	Yarborough, et al.	
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	US-6,039,733	03/21/2000	Buysse, et al.	
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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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ATTY. DOCKET NO.	SERIAL NO.
149	N/A
APPLICANT:	

NIELSEN, JAMES T. ET AL.

FILING DATE: 12/31/03

GROUP: N/A

		U.U. TATE	IT DOCUMENTS			_		
XAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CL	ASS	SUB		EII INO DATE
MTA	5,489,058	2/6/1996	PLYLEY ET AL.			7	-	FILING DATE
1	5,535,935	7/16/1996	VIDAL, ET AL				+-	
10	5,586,711	12/24/1996	PLYLEY ET AL.	- - 		-	-	
011	5,816,471	10/6/1998	PLYLEY ET AL.	1		-		
MTA G	5,878,938	3/9/1999	BITTNER ET AL.		-			
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EXAMINER INITIAL MTA	DOCUMENT NUMBER EP1354559A2	DATE 5/24/1995	COUNTRY EUROPE	CLASS	SUB CLASS	TRANSLATION YES/NO

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of information unless it contains a valid CAUS control number. JAN 2 7 2006 titute for form 1449A/PTO INFORMATION DISCLOSURE Complete if Known **Application Number** STATEMENT BY APPLICANT 10/750,712 December 31, 2003 Filing Date Nielsen, James **First Named Inventor** Art Unit 3731 Unknown **Examiner Name** (Use as many sheets as necessary) Attorney Docket No: 149 Sheet of

			US PAT	ENT DOCUMENTS	
Examiner Initial *	Cite No	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
MTA		US- 2005/0267496 A1	12/01/2005	Loshakove, Amir et al.	
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MTA	DE-69406845	04/09/1998		
MTA	EP-0625335B1	11/19/1997	Bolanos, Henry, et al.	
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Substitute for form 1449A/PTQ	Complete if Known	quired to respond to a collection of information unless it contains a valid CAUS control number
INFORMATION DISCLOSURE	Application Number	10/750,712
STATEMENT BY APPLICANT Uso as many sheets as necessary)	Filing Date	December 31, 2003
	First Named Inventor	Nielsen, James T.
	Group Art Unit	3721
	Examiner Name	Unknown
Sheet 1 of 1	Attorney Docket No: 1	149

		US PA	ATENT DOCUMENT	S
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Substitute for form 1449A/PTO	Under the Peperwork Reduction Act of 1995, no persons are required to respond to a collection of intermedian unless it contains a valid CAB control number. [Complete if Known]				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Application Number	10/750,712			
	Filing Date	December 31, 2003			
	First Named Inventor	Nielsen, James T.			
	Group Art Unit	3721			
	Examiner Name	Unknown			
Sheet 2 of 2	Attorney Docket No: 1	149			

	OTHE	R DOCUMENTS - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T'
MTA		"510(k) Notification For the Cardica C-Port Anastomosis System", Section 9, "Substantial Equivalence," and Appendices B, C, E (Unpublished)	

EXAMINER M Tom Anderson

DATE CONSIDERED

7/16/2006